

## United States Bankruptcy Court

Southern District Of New York  
In re Lehman Brothers Holdings Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Hua An International Balanced Fund  
Name of Transferee

Anthracite Balanced Company (46) Limited  
Name of Transferor

Name and Address where notices to transferee  
should be sent: Zhang Haimo  
Hua An Fund Management Co Ltd,  
2F, New Shanghai International Tower,  
360 South Pudong Road, Shanghai  
200120 P.R. China

Court Claim# (if known): 11014  
Amount of Claim: \$42,760,579.90  
Date Claim Filed: 09/09/2009

Phone: +86 2138 9699 08

Last Four Digits of Acct.#: \_\_\_\_\_

Phone: +1 345 914 7525

Last Four Digits of Acct.#: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acc.#: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

ZHANG HAIMO  
Transferee/Transferee's Agent

Date: 16th Dec 2009

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571